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HUNTON &
WILLIAMS

HUNTON & WILLIAMS LLP
1900 K STREET, N.W.
WASHINGTON, D.C. 20006-1109

TEL 202-955-1500
FAX 202-778-2201

FAX

TO NAME: Exr. Clement B. Graham
FIRM: USPTO
FAX NO.: (571) 273-8300
PHONE NO.: (571) 272-6795

PAGES (INCLUDING COVER): 14

ORIGINAL TO FOLLOW IN MAIL: ☐ Yes ☒ No

FROM NAME: Jeffrey Scott Leaning
FLOOR:
DIRECT DIAL: (202) 419 2092

MESSAGE Please find attached a copy of the response to the 8/10/2005 office action in case number 09/894,476. We originally filed this response 12/9/2005, as shown by the OIPE stamp on the return receipt postcard. However, PAIR has no record of this response. Please enter the attached response into the USPTO docketing system. Please do not hesitate to contact me with any questions or concerns: (202) 419-2092.

IF PROBLEM WITH TRANSMISSION, PLEASE CONTACT OPERATOR AT 202-419-2092.

OPERATOR

DATE: October 24, 2006
TIME:
CLIENT/MATTER NAME: 09/894,476 - Technology Transition Options
CLIENT/MATTER NO.: 72167.564

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☐ PROVISIONAL
☒ UTILITY

476

Application No.: 08/884,746

Filing Date: June 28, 2001

Client/Matter No.: 72187.000564

Inventor(s): Andrew COMAS et al.



☐ DESIGN
☐ PCT

Date of Receipt: December 9, 2005

Client: JP Morgan Chase

Att/Sec.: CL/ma

Title: SYSTEM AND METHOD FOR CHARACTERIZING AND SELECTING TECHNOLOGY
TRANSITION OPTIONS

The following has been received in the U.S. Patent and Trademark Office on the date stamped
thereon: *1/04/05*

- ☒ REPLY TO FINAL OFFICE ACTION UNDER 37 C.F.R. §1.111
- ☒ EXHIBIT A - REVOCATION OF PREVIOUS POWERS OF ATTORNEY AND APPOINTMENT OF NEW
POWERS OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS (2 PAGES)
- ☒ SCHEDULE A - (ATTACHMENT TO EXHIBIT A) (1 PAGE)
- ☒ RECEIPT POSTCARD (GREEN)

A
12/12/05

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application Of:)	
Andrew COMAS <i>et al.</i>)	Group Art Unit: 3628
Application Number: 09/894,746 ⁴⁷⁶ -e)	Exam ner: Clement B. GRAHAM
Filed: June 28, 2001)	Confirmation No.: 5938
For: SYSTEM AND METHOD FOR)	
CHARACTERIZING AND)	
SELECTING TECHNOLOGY)	
TRANSITION OPTIONS)	

REPLY TO NON-FINAL OFFICE ACTION UNDER 37 C.F.R. § 1.111

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the non-final Office Action mailed on August 10, 2005 ("Office Action").

Applicants respectfully requests reconsideration of all rejections in view of the following remarks.

No amendments to the Claims are submitted.

Claims 1-12 are currently pending.

Remarks/Arguments begin on page 2 of this paper.